

S.01.02. - Basic information

General comments:

This Annex contains additional instructions in relation to the templates included in Annex I of this Regulation. The first column of the next table identifies the items to be reported by identifying the columns and lines as showed in the template in Annex I.

This annex relates to opening, quarterly and annual submission of information for individual entities.

	ITEM	INSTRUCTIONS
C0010/R0010	Undertaking name	Legal name of the undertaking. Needs to be consistent over different submissions
C0010/R0020 (A1)	Undertaking identification code	Identification code of the undertaking, using the following priority: - Legal Entity Identifier (LEI) - Identification code used in the local market, attributed by supervisory authority
C0010/R0030 (A11)	Type of code of undertaking	Type of ID Code used for the “Undertaking Identification code” item. One of the options in the following closed list shall be used: 1 - LEI 2 - Specific code
C0010/R0040 (A7)	Type of undertaking	Identify the type of the reporting undertaking. The following closed list of options shall be used to identify the activity of the undertaking: 1 - Composite undertakings 2 - Life undertakings 3 - Non-Life undertakings
C0010/R0050	Country of authorisation	Identify the ISO 3166 code of the country where the undertaking was authorised (Home-country)
C0010/R0080 (A13)	Language of reporting	Identify the ISO 639 code of the language used in the submission of information
C0010/R0090 (A2)	Reporting submission date	Identify the ISO 8601 (yyyy-mm-dd) code of the date when the report to the supervisory authority is made
C0010/R0100 (A3)	Reporting reference date	Identify the ISO 8601 (yyyy-mm-dd) code of the date identifying the last day of the reporting period
C0010/R0110 (A14)	Regular/Ad-hoc submission	Identify if the submission of information relates to regular submission of information or ad-hoc. The following closed list of options shall be used: 1 - Regular reporting 2 - Ad-hoc reporting
C0010/R0120 (A4)	Currency used for reporting	Identify the ISO 4217 alphabetic code of the currency of the monetary amounts used in each report

C0010/R0130 (A5)	Accounting standards	Identification of the accounting standards used for reporting items in S.02.01, financial statements valuation. The following closed list of options shall be used: 1 - The undertaking is using IFRS 2 - The undertaking is using local GAAP (other than IFRS)
C0010/R0140 (A6)	Method of Calculation of the SCR	When the undertaking uses internal models to calculate the SCR, identify the type of internal model. The following closed list of options shall be used: 1 – Standard formula 2 - Partial internal model 3 - Full internal model
C0010/R0150 (A18)	Use of undertaking Specific parameters	Identify if the undertaking is reporting figures using undertaking specific parameters. The following closed list of options shall be used: 1 - Use of undertaking specific parameters 2 - Don't use undertaking specific parameters
C0010/R0160 (A8)	Ring-Fenced Funds	Identify if the undertaking is reporting activity by Ring Fenced Funds (RFF). The following closed list of options shall be used: 1 - Reporting activity by RFF 2 - Not reporting activity by RFF
C0010/R0180 (A15)	Matching adjustment	Identify if the undertaking is reporting figures using the matching adjustment. The following closed list of options shall be used: 1- Use of matching adjustment 2 - No use of matching adjustment
C0010/R0190 (A16)	Volatility adjustment	Identify if the undertaking is reporting figures using the volatility adjustment. The following closed list of options shall be used: 1- Use of volatility adjustment 2 - No use of volatility adjustment
C0010/R0200 (A17)	Transitional measure on the risk-free interest rate	Identify if the undertaking is reporting figures using the transitional measure on the risk-free interest rate. The following closed list of options shall be used: 1 - Use of transitional measure on the risk-free interest rate 2 - No use of transitional measure on the risk-free interest rate
C0010/R0210 (A19)	Transitional measure on technical provisions	Identify if the undertaking is reporting figures using the transitional measure on technical provisions. The following closed list of options shall be used: 1 - Use of transitional measure on technical provisions 2 - No use of transitional measure on technical provisions
C0010/R0220	Initial submission or re-submission	Identify if it is an initial submission of information or a re-submission of information in relation to a reporting reference date already reported. The following closed list of options shall be used: 1 – Initial submission 2 – Re-submission