

S.30.02. – Facultative covers (in terms of reinsured exposure) – Shares (old Re-J1 – Shares)

General comments:

This Annex contains additional instructions in relation to the templates included in Annex I of this Regulation. The first column of the next table identifies the items to be reported by identifying the columns and lines as showed in the template in Annex I.

This annex relates to annual submission of information for individual entities.

This template is applicable to insurance and reinsurance undertakings which reinsure and/or retrocede business on a facultative basis.

It shall be filled by the non-life and life insurance and reinsurance undertakings for each line of business (LOB) for which facultative reinsurance is used (e.g. in cases where the risks accepted do not fit in the regular policy acceptance and could only be accepted in case part of the risk is reinsured on a facultative basis). Facultative reinsurance is a specific reinsurance covering a single risk, ensuring reinsurance on the same terms as original. Each facultative risk is submitted to the reinsurer and terms and conditions of the facultative reinsurance are negotiated individually for each policy.

There shall be one separate template for each LoB. For each LoB, a selection must be made of the 10 most important underwriting risks under the policy in terms of reinsured exposure on a facultative basis. Furthermore, each underwriting risk shall have a unique code specified by the “risk identification code”.

This template is prospective (to be in line with S.30.03.b) for the selected largest 10 facultative covers that have not yet expired at the start of the reporting year and are known when filling the template.

Where a risk is covered by other treaty reinsurance placements the undertaking must provide details of the dominant treaty. This is recorded by ensuring the facultative reinsurance program code (C0020) in S.30.01.b is identical to the reinsurance program code in S.30.03.b.

Facultative placements covering different LOBs shall also appear in the various relevant LOBs if they are ranked within the 10 biggest risks of the same LOB.

	ITEM	INSTRUCTIONS
Facultative covers non-life		
C0010 (A00)	Line of business	Identification of the line of business reported. The following closed list shall be used: 1 - Medical expense insurance 2 - Income protection insurance 3 - Workers' compensation insurance 4 - Motor vehicle liability insurance 5 - Other motor insurance 6 - Marine, aviation and transport insurance 7 - Fire and other damage to property insurance 8 - General liability insurance 9 - Credit and suretyship insurance 10 - Legal expenses insurance 11 - Assistance 12 - Miscellaneous financial loss 13 - Proportional medical expense reinsurance 14 - Proportional income protection reinsurance 15 - Proportional workers' compensation reinsurance 16 - Proportional motor vehicle liability reinsurance 17 - Proportional other motor reinsurance 18 - Proportional marine, aviation and transport reinsurance

		<p>19 - Proportional fire and other damage to property reinsurance</p> <p>20 - Proportional general liability reinsurance</p> <p>21 - Proportional credit and suretyship reinsurance</p> <p>22 - Proportional legal expenses reinsurance</p> <p>23 - Proportional assistance reinsurance</p> <p>24 - Proportional miscellaneous financial loss reinsurance</p> <p>25 - Non-proportional health reinsurance</p> <p>26 - Non-proportional casualty reinsurance</p> <p>27 - Non-proportional marine, aviation and transport reinsurance</p> <p>28 - Non-proportional property reinsurance</p>
C0020 (A1)	Reinsurance program code	Undertaking specific reinsurance code that links the dominant treaty reinsurance programme which also protects the risk covered by the facultative reinsurance. The Reinsurance program code shall be in line with the Reinsurance program code of S.30.03.b - Outgoing Reinsurance Program in the next reporting year.
C0030 (B1)	Risk identification Code	For each LOB of non-life insurance a selection shall be made of the 10 most important risks in terms of exposure that are subject to facultative reinsurance in force in the reporting period (also if they originated in preceding years). The code is a unique identifying number assigned by the insurer that identifies the risk and shall remain unchanged for subsequent annual reports.
C0040 (C1)	Facultative reinsurance placement identification code	A sequential number which is unique for the risk, assigned to each facultative reinsurance placement by the undertaking.
C0050 (D1)	Code reinsurer	Identification code of the reinsurer by this order of priority if existent: <ul style="list-style-type: none"> - Legal Entity Identifier (LEI); - Code as published by EIOPA; - Specific code attributed by the undertaking (if none of the above are available)
C0060 (K1)	Code broker	<p>Identification code of the broker by this order of priority if existent:</p> <ul style="list-style-type: none"> - Legal Entity Identifier (LEI); - Specific code attributed by the undertaking (if none of the above are available) <p>If more than one broker was involved in the reinsurance placement only the broker is required.</p>
C0070 (M1)	Activity code broker	<p>Representing the activities of the broker involved, as considered by the undertaking. In case the activities are combined all activities must be mentioned separated by a “;”:</p> <ul style="list-style-type: none"> - Intermediary for placement - Underwriting on behalf of - Financial services
C0080 (N1)	Share reinsurer (%)	<p>Percentage of the facultative placement accepted by the reinsurer, expressed as an absolute percentage of the Amount reinsured on a facultative basis, with all reinsurers, as reported in column C0160 of S.30.01.b - Facultative covers (in terms of reinsured exposure) – Basic.</p> <p>The percentage shall be reported as a decimal.</p>
C0090 (O1)	Currency	Identify ISO 4217 alphabetic code of the currency used while placing the facultative cover. All the amounts of this record must be expressed in this currency.
C0100 (P1)	Sum reinsured to facultative reinsurer	The sum reinsured on a facultative basis with the reinsurer.

C0110 (Q1)	Facultative ceded reinsurance premium	Corresponding gross annual or written reinsurance premium, ceded to reinsurer for their share.
C0120 (R1)	Annotations	Description of cases where either the reinsurer's participation is at conditions different from those of the standard facultative or treaty placement, or to provide any other information that the undertaking has to bring to the attention of the Supervisor.
Facultative covers life		
C0130 (A01)	Line of business	Identification of the line of business reported. The following closed list shall be used: 29 - Health insurance 30 - Insurance with profit participation 31 - Index-linked and unit-linked insurance 32 - Other life insurance 33 - Annuities stemming from non-life insurance contracts and relating to health insurance obligations 34 - Annuities stemming from non-life insurance contracts and relating to insurance obligations other than health insurance obligations 35 - Health reinsurance 36 - Life reinsurance
C0140 (A11)	Reinsurance program code	Undertaking specific reinsurance code that links the dominant treaty reinsurance programme which also protects the risk covered by the facultative reinsurance. The Reinsurance program code shall be in line with the Reinsurance program code of S.30.03.b - Outgoing Reinsurance Program in the next reporting year.
C0150 (B11)	Risk identification code	For each LOB of life insurance a selection shall be made of the 10 most important risks in terms of exposure that are subject to facultative reinsurance in force in the reporting period (also if they originated in preceding years). The code is a unique identifying number assigned by the insurer that identifies the risk within the branch, and this code cannot be reused for other risks in the same branch and shall remain unchanged for subsequent annual reports.
C0160 (C11)	Facultative reinsurance placement identification code	A sequential number which is unique for the risk, assigned to each facultative reinsurance placement by the undertaking.
C0170 (D11)	Code reinsurer	Identification code of the reinsurer by this order of priority if existent: - Legal Entity Identifier (LEI); - Code as published by EIOPA; - Specific code attributed by the undertaking (if none of the above are available)
C0180 (K11)	Code broker	Identification code of the broker by this order of priority if existent: - Legal Entity Identifier (LEI); - Specific code attributed by the undertaking (if none of the above are available) If more than one broker was involved in the reinsurance placement only the dominant broker is required.
C0190 (M11)	Activity code broker	Representing the activities of the broker involved, as considered by the undertaking. In case the activities are combined all activities must be mentioned separated by a “;”: - Intermediary for placement - Underwriting on behalf of - Financial services

C0200 (N11)	Share reinsurer (%)	Percentage of the facultative placement accepted by the reinsurer, expressed as an absolute percentage of the Amount reinsured on a facultative basis, with all reinsurers, as reported in column C0320 of S.30.01.b - Facultative covers (in terms of reinsured exposure) – Basic. The percentage shall be reported as a decimal.
C0210 (O11)	Currency	Identify ISO 4217 alphabetic code of the currency used while placing the facultative cover. All the amounts of this record must be expressed in this currency.
C0220 (P11)	Sum reinsured to facultative reinsurer	The sum reinsured on a facultative basis with the reinsurer.
C0230 (Q11)	Facultative ceded reinsurance premium	Corresponding gross annual or written reinsurance premium, ceded to reinsurer for their share.
C0240 (R11)	Annotations	Description of cases where either the reinsurer's participation is at conditions different from those of the standard facultative or treaty placement, or to provide any other information that the undertaking has to bring to the attention of the Supervisor.
Information on reinsurers and brokers		
C0250 (D1)	Code reinsurer	Identification code of the reinsurer by this order of priority if existent: - Legal Entity Identifier (LEI); - Code as published by EIOPA; - Specific code attributed by the undertaking (if none of the above are available)
C0260	Type of code reinsurer	Identification of the code used in item “Code reinsurer” The following closed list shall be used: 1 - LEI 2 - EIOPA 3 - Specific code
C0270 (E1)	Legal name reinsurer	Legal name of the reinsurer to whom the underwriting risk has been transferred. The official name of the risk-carrier reinsurer is stated in the reinsurance contract. It is not permitted to fill in the name of a reinsurance broker. Nor is it permitted to state a general or incomplete name as international reinsurers have several operating companies that may be based in different countries. In case of pooling arrangements, the name of the Pool (or pool manager) can be filled only if the Pool is a legal entity.
C0280 (F1)	Type of reinsurer	Type of reinsurer to whom the underwriting risk has been transferred. The following closed list shall be used: 1 - Direct Life insurer 2 - Direct Non-life insurer 3 - Direct Composite insurer 4 - Captive insurance undertaking 5 - Internal reinsurer (reinsurance undertaking which primary focus is to take risk from other insurance undertakings within the group) 6 - External reinsurer (reinsurance undertaking that takes risks from undertakings other than from insurance undertakings within the group) 7 - Captive reinsurance undertaking 8 - Special purpose vehicle 9 - Pool entity (where more than one insurance or reinsurance

		undertakings are involved) 10 - State pool
C0290 (G1)	Country of residency	Identify the ISO 3166 code for the country where the reinsurer is legally authorised/licensed.
C0300 (H1)	External rating assessment by nominated ECAI	The actual/current rating that is considered by the undertaking.
C0310 (I1)	Nominated ECAI	The agency that rates the reinsurer that is considered by the undertaking.
C0320 (J1)	Date rating assigned	Identify the ISO 8601 (yyyy-mm-dd) code of the date on which the rating has been released that is considered by the undertaking.
C0330	Credit quality step	Identify the credit quality step attributed to the reinsurer. The credit quality step shall reflect any readjustments to the credit quality made internally by the undertakings that use the standard formula.
C0340	Internal rating	Internal rating of assets for undertakings using internal model to the extent that the internal ratings are used in their internal modelling. If an internal model undertaking is using solely external ratings this item shall not be reported.
C0350 (K1)	Code broker	Identification code of the broker by this order of priority if existent: - Legal Entity Identifier (LEI); - Specific code attributed by the undertaking (if none of the above are available)
C0360	Type of code broker	Identification of the code used in item "Code broker": 1 - LEI 2 - Specific code
C0370 (L1)	Legal name broker	Statutory name of the broker.